
Nasdaq Baltic Member Technical Services Price List

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1. General provisions

1.1. This price list of technical services (hereinafter: Technical Price List) shall set out the fees charged by the Nasdaq Tallinn AS, Nasdaq Riga A/S and AB Nasdaq Vilnius (hereinafter also: the Baltic Exchanges) in relation to the infrastructure services provided by them on all the markets operated by them (securities exchange, regulated market, multilateral trading facility, if applicable) (hereinafter altogether also: Nasdaq Baltic) for Members of these Baltic Exchanges. These fees include the service fees for the use of connectivity possibilities to the trading systems and for technical solution necessary to establish such a connection.

1.2. Technical services fees are payable to only one of the Baltic Exchanges (Home Exchange). Technical services fees shall not apply to Member who is a member of any Nasdaq Nordic Exchange and has acquired the access and the right for using the solutions enabling the use of trading system for service fees paid to one of these exchanges.

1.3. This Technical Price List is a compiled single format document to reflect official harmonized texts of official price lists of the Baltic Exchanges which are approved by Baltic Exchanges accordingly. For avoidance of doubt, in legal matters the original official price lists of Baltic Exchanges should be taken as a legal ground. The Technical Price List shall be effected and amended in conjunction with the official price lists under relevant decisions of the Management Boards of the Baltic Exchanges.

1.4. The terms and definitions used and not explained herein shall have the meaning defined in the rules of the Baltic Exchanges.

1.5. On the basis of a person's written application and in the cases and on the basis provided in the laws or rules of the Baltic Exchanges, Baltic Exchanges have the right to grant exceptions to the provisions of the Technical Price List.

1.6. Technical services fees are payable in advance for each month to follow, except query fees that are due in next month after the month when queries were made. The payment shall be made within the period of time

stipulated in the Technical Price List. In case the term is not fixed, the payment shall be made at the time stated on the invoice of the Baltic Exchanges.

1.7. In case the payment is not made on time, the Baltic Exchanges may request and the Member must pay a fine of 0.1% per the unpaid amount per delayed day.

1.8. In the cases provided by laws, VAT is added to the charges.

1.9. Training and Trading

While the software and hardware is being installed at the Member's site, Nasdaq Baltic Exchanges offer the required training for the Member. After training and broker authorization, the Member is entitled to start trading, subject to completion of membership agreements and collateral arrangements. Connecting to trading system real environment (hereinafter: production environment) is only allowed with software accepted by Nasdaq.

1.10. Technical setup

Member's technical solution is always planned in consultation with Nasdaq Baltic. For further information about the services offered and related technical solution, please turn to Nasdaq Baltic Exchanges connectivity guidelines or contact Nasdaq Baltic.

2. Production Trading Connectivity

Service fees for data access solutions for access and connections to trading systems used for trading in securities in Nasdaq Baltic.

INET Nordic*	Price
1 FIX Order Entry Port and/or 1 FIX Trade Entry Port	EUR 150 per port pair/month
1 FIX DROP for FIX Order Entry traffic and/or 1 FIX DROP for FIX Trade Entry traffic	EUR 150 per per port pair/month
OUCH Order Entry, FIX DROP for OUCH Order entry traffic	EUR 150 per per port pair/month
ITCH Port	EUR 150 per per port pair/month
Genium Consolidated Feed (TIP)**	EUR 50 per port pair/month

* In INET Nordic a production port pair consists of a primary and failover session. For further details please visit the member technical website or contact Nasdaq Baltic.

**Charged by Global Market Data under GDA agreement, unless technical solution is offered by Nasdaq Baltic.

Production PRM, Pre Trade Risk Management* add-ons	Price
Fat-finger control (applied on Port pair only)	EUR 100per port pair/month
Maximum Order Quantity Check**	EUR100per PRM Account/month

Maximum Order Value Check**	EUR 100per PRM Account/month
Restricted Symbol/Market List Check**	EUR 100 per PRM Account/month
Daily Accumulated Values Checks (multiple accumulators available)**	EUR 500perPRM Account/month
Order Cancel and Order Entry Prevention on FIX Drop Disconnect	EUR 100 per PRM Account/month
PRM Administration Interface via Nordic Workstation	Free of charge
Blow-through protection	EUR 100 per PRM Account/month
Max Orders/Sec per Port Check (applied on Port pair only)	EUR 100 per port pair/month
Max Orders/Sec per Symbol Check**	EUR 100 per PRM Account/month
Repeated Order Generation Check**	EUR 100 per PRM Account/month
Fat-Finger Control for Auctions	EUR 100 per PRM Account/month
Market Order Restriction	EUR 100 per PRM Account/month
Market Order Protection in Calls	Free of charge
E-mail notifications service	EUR 100 per PRM Account/month
FTP site for upload of restricted symbol list	EUR 100 per PRM Account/month

*For more information please refer to PRM Service Guide on the member technical website indicated above.

** Available on PRM Account level cross ports and protocols (OUCH/FIX).

Genium INET	Price
Genium INET Trading Workstation access	EUR 100 per month

Nordic Workstation	Price
Nordic Workstation account	EUR 200 per account/per month
Query on order/trade history	EUR 0.35 per query, excluding intraday queries
Time & Sale query	EUR 0.35 per query

Trade File delivery service	Price
Member daily trade file by Nasdaq Riga or Nasdaq Vilnius	EUR 20 per month (to be discontinued from September, 2017)
Member daily trade file for Baltic markets over FTP	EUR 150 per month

3. Test Trading Connectivity

INET Nordic OTF Test Ports	Price
1 FIX Order Entry Port and/or 1 FIX Trade Entry Port	EUR 35 per port pair/month
1 FIX DROP for FIX Order Entry traffic and/or 1 FIX DROP for FIX	EUR 35 per port pair/month

Trade Entry traffic

OUCH Order Entry, FIX DROP for OUCH Order entry traffic	EUR 35 per port pair/month
Genium Consolidated Feed (TIP)*	EUR 35 per port pair/month

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Test Access	Price
Nordic Workstation test environment access	EUR 100 month/company
Genium INET Trading Workstation test environment access	EUR 100 month/company*

*Free of charge if Genium INET test environment configuration equals production environment access configuration

4. Infrastructure service

Fees for Infrastructure service	Price
Access to Nasdaq Baltic Exchanges systems via BalticCloud per one connection point with Nasdaq Baltic exchanges	EUR 150 per month
Access to Nasdaq Baltic exchanges systems via BalticCloud for every subsequent connection point with Nasdaq Baltic Exchanges	EUR 75 per month
BalticCloud one-off setup fee	EUR 100
